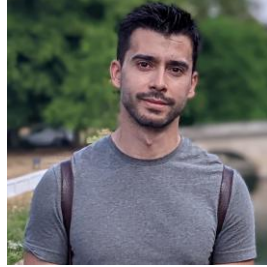


# Hierarchical Bracketing Encodings for Dependency Parsing as Tagging



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# Motivation: Dependency Parsing as Sequence Labeling (SL)

SL reframes the dependency parsing task (graph-based prediction) as token-level classification.

							<b>LABEL SPACE:</b>
<u>Strzyz et al. (2020):</u> <i>brackets</i>	<	\<	<	\ /	>/	>	unbounded
<u>Gómez-Rodríguez et al. (2023):</u> <i>4-bit (and 7-bit)</i>	0100	0110	0000	1111	1101	1100	bounded (16)
<u>Amini et al. (2023):</u> <i>hexatag</i>	↗↗ <sup>R</sup>	↖↗ <sup>R</sup>	↖↗ <sup>R</sup>	↖↗ <sup>L</sup>	↗↖ <sup>L</sup>	↖	bounded (8)
<b>Hierarchical (ours):</b>	<	\<	<	>/	>/	>	<b>bounded (12)</b>
	super vs auxiliary brackets						

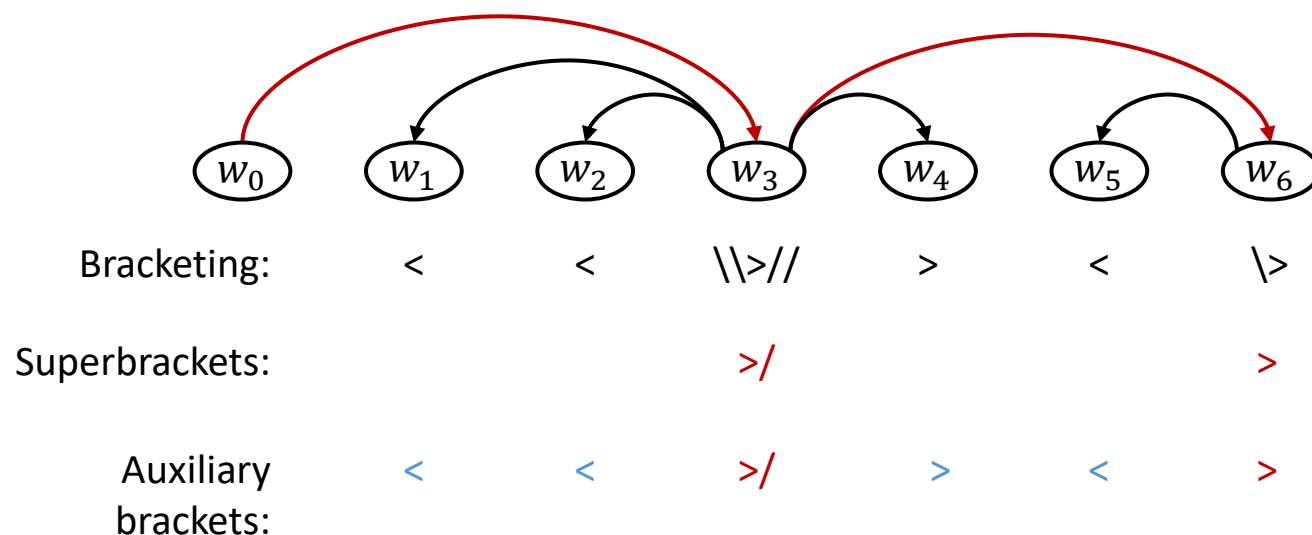
# Optimal Hierarchical Bracketing Encodings (OHB)

- Contribution: Novel SL approach for dependency trees (bounded label space).
- Key concepts: (1) *rope cover* (2) *superbrackets* (3) *auxiliary brackets*.

## How to encode?

1. Identify the *proper rope cover*.
2. Assign superbrackets ( $>$ ,  $\backslash$ ,  $/$ ,  $<$ ).
3. Assign auxiliary brackets ( $>$ ,  $<$ ).

## Projective dependency tree



The head of the auxiliary arcs is paired with a superbracket

**Step 1:** Given a dependency tree  $G = (W, A)$ , the *proper rope cover* is  $R \subseteq A$  such that:

1. Every  $a \in A - R$  leans on some  $a' \in R$ .
2. No  $a \in R$  leans on any other  $a' \in R - \{a\}$ .

**Step 2:** Encode  $R$  with *superbrackets*.

**Step 3:** Encode  $A - R$  with *auxiliary brackets*.

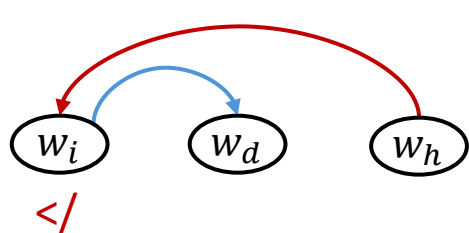
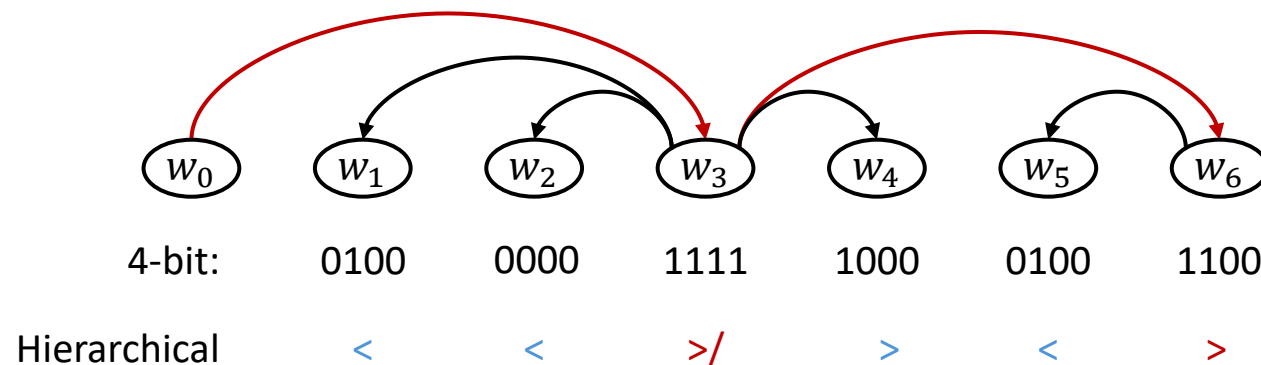
# The Bounded Space of Optimal Hierarchical Brackets (OHB)

- The rope cover is the set of arcs corresponding to the highest hierarchy level.
- OHB compresses the brackets of sub-dependencies with the superbrackets of the rope cover.
- There are a total of 12 labels. **How?**

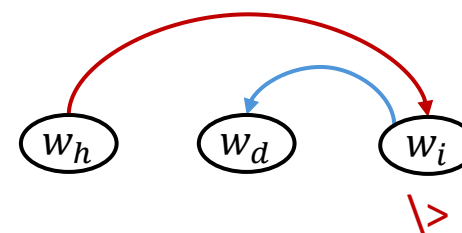
Proof from 4-bit encoding ( $2^4 = 16$  labels)

- $b_0 = w_i$  has a left head.
- $b_1 = w_i$  is the outermost dependent.
- $b_2 = w_i$  has left dependents.
- $b_3 = w_i$  has right dependents.

$b_0b_1 \backslash b_2b_3$	00	01	10	11
00	< </	\< \</	< </	\< \</
01	< </	\< \</	< </	\< \</
10	> >/	\> \>/	> >/	\> \>/
11	> >/	\> \>/	> >/	\> \>/



Never happens since  $(w_i \rightarrow w_d)$  is auxiliary.



Never happens since  $(w_d \leftarrow w_i)$  is auxiliar.

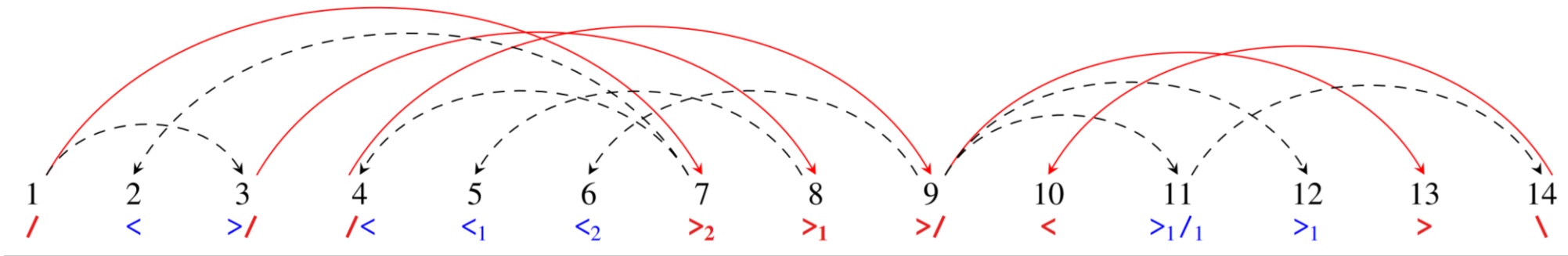
But  $\{ \backslash</, \backslash>/, </, \> \}$  **cannot** occur in OHB since no  $a \in R$  leans on  $a' \in R - \{a\}$ .

# Expanding Hierarchical Brackets to Non-Projective Dependency Trees

- Hexatagging, 4-bit and HB encodings do not support non-projective trees.

We expand HB with **subindices** to support non-projective trees (unbounded space).

- Only closing superbrackets ( $>$ ,  $\backslash$ ) have subindices.
- More auxiliary brackets is expanded ( $>$ ,  $\backslash$ ,  $/$ ,  $<$ ).



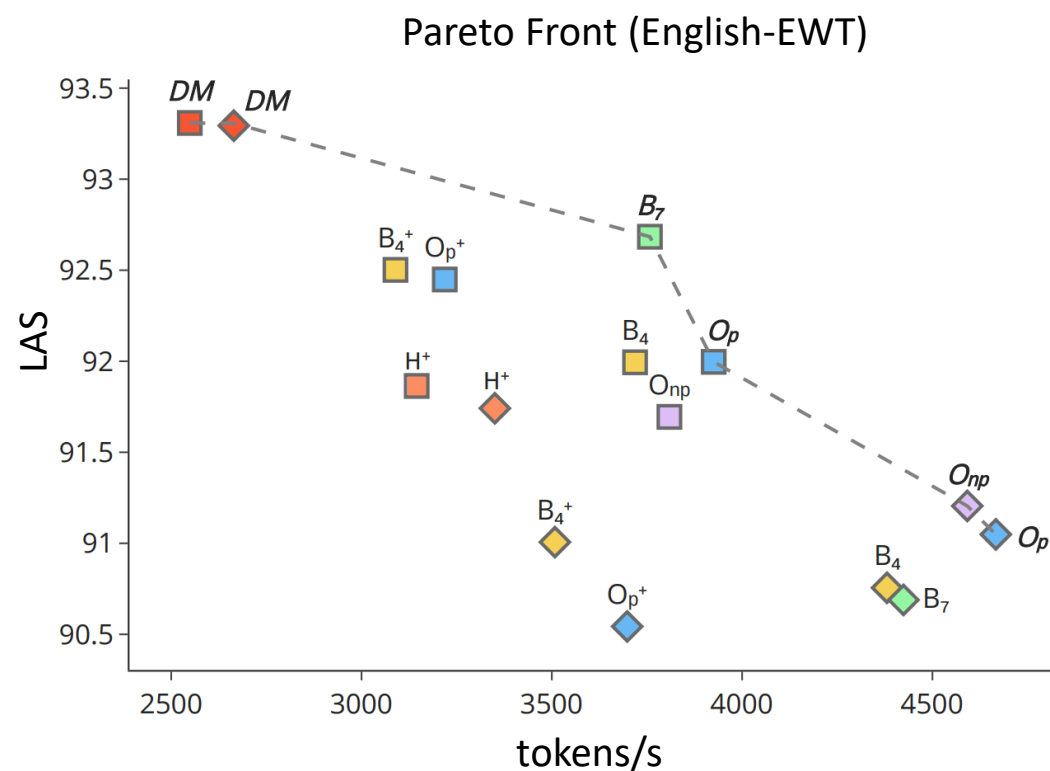
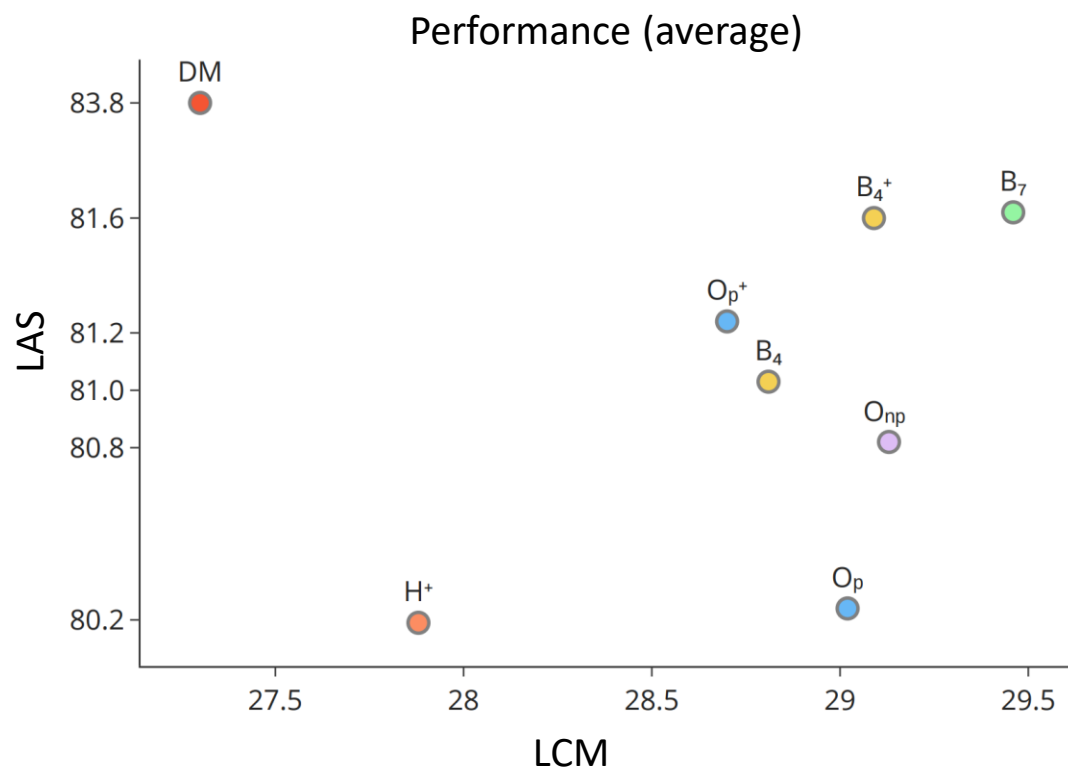
Subindices indicate the number of superbrackets that need to be skipped in the decoding process until matching.

# Experiments and Results

- PTB and UD (9 languages) with XLM  $\diamond$ /XLNet  $\square$ .
- Baselines: Hexatagging ( $H^+$   $\circ$ ) and Biaffine (DM  $\circ$ ).
- 4-bit ( $B_4$   $\circ$ ) and projective OHB ( $O_p$   $\circ$ ) with pseudo-projectivity (+).
- 7-bit ( $B_7$   $\circ$ ) and non-projective OHB ( $O_{np}$   $\circ$ ).

Close performance:

- LAS:  $O_p < O_{np} < B_4 < O_p^+ < B_4^+ < B_7$ .
  - LCM:  $O_p^+ < B_4 < O_p < B_4^+ < O_{np} < B_7$ .
- But  $O_p/O_{np}$  are faster (compact label space).

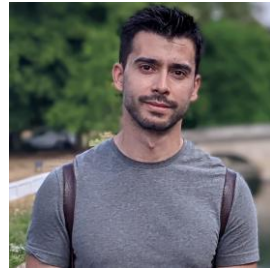


## Hierarchical Bracketing Encodings for Dependency Parsing as Tagging

# Thank you for listening!



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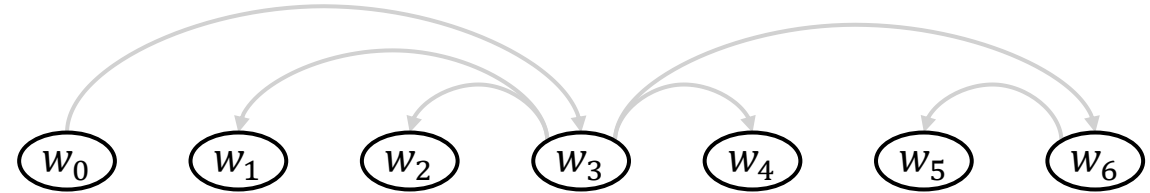
**INDITEX UDC**  
Cátedra de IA en Algoritmos Verdes



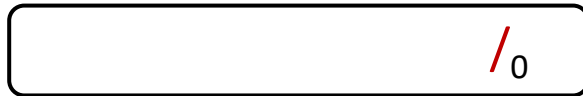
# Decoding with Hierarchical Brackets

Stack-based system where:

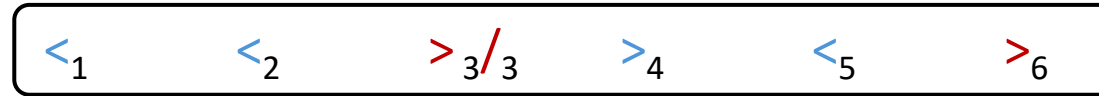
- Auxiliary brackets only match superbrackets.
- Superbrackets match any bracket.



Stack



Buffer



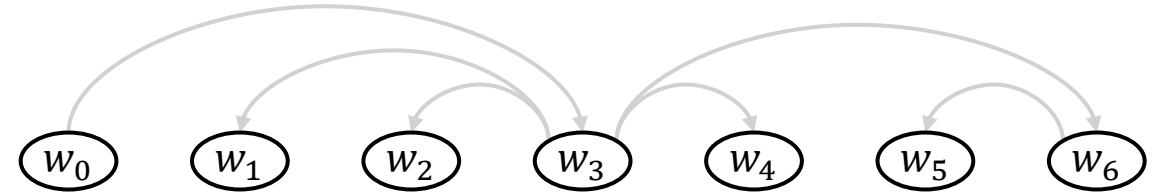
(label indices are marked subscripts)



# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (//) matches super-left head (>).
- Super right head (<) matches super-left dependent (\\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer

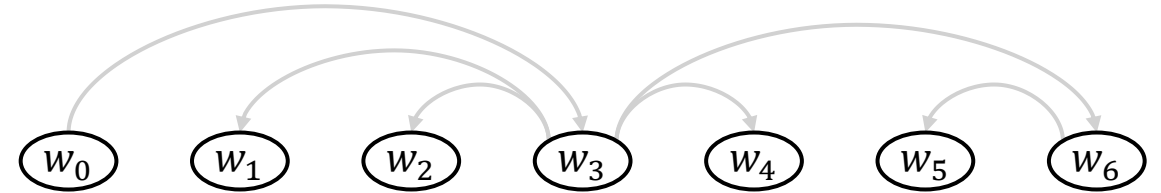


(label indices are marked subscripts)

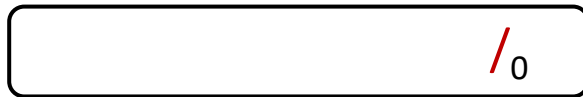
# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

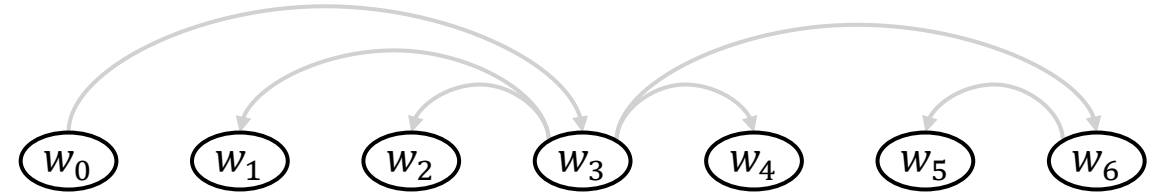


Add  $<_1$  to the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

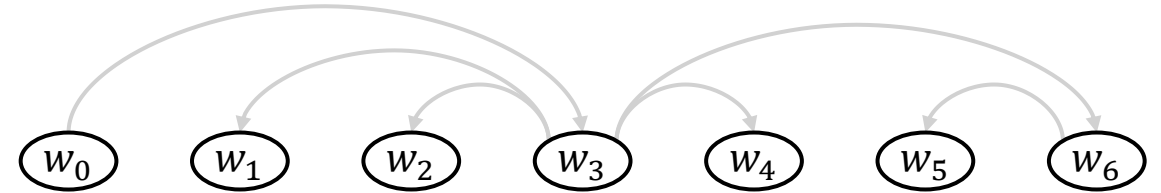


Add  $<_1$  to the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

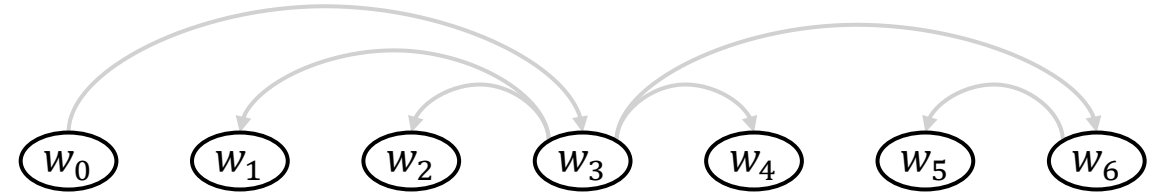


Add  $<_2$  to the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

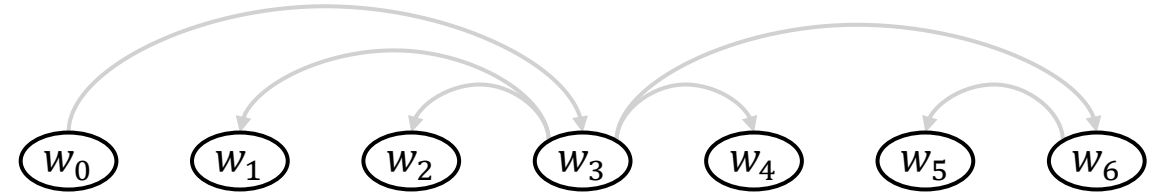


Add  $<_2$  to the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

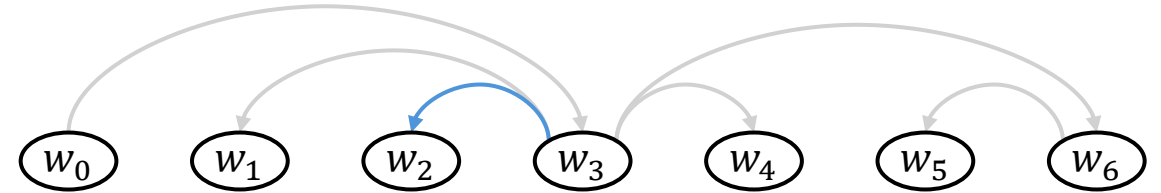


Match  $>_3$  with the elements in the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (//) matches super-left head (>).
- Super right head (<) matches super-left dependent (\\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer

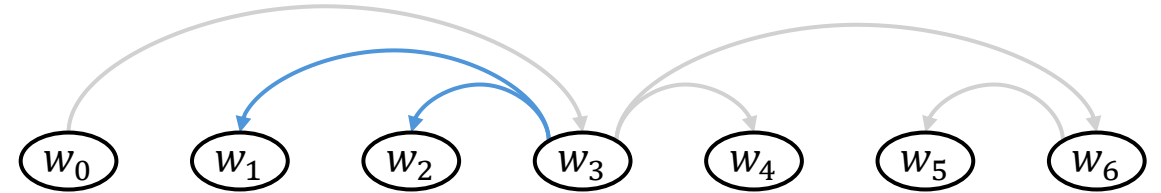


Match  $>_3$  with the elements in the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer



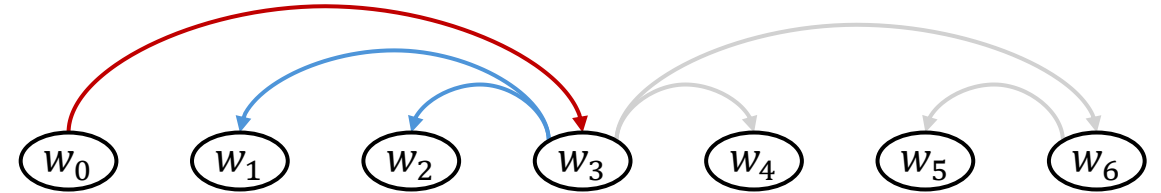
Match  $>_3$  with the elements in the stack.



# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (//) matches super-left head (>).
- Super right head (<) matches super-left dependent (\\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer

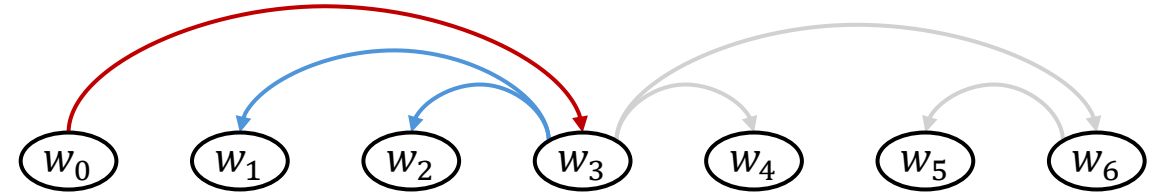


Stop when a superbracket is found.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (/) matches super-left head (>).
- Super right head (<) matches super-left dependent (\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer

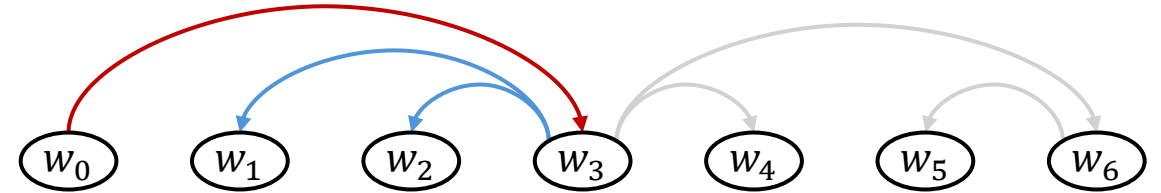


Stop when a superbracket is found.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

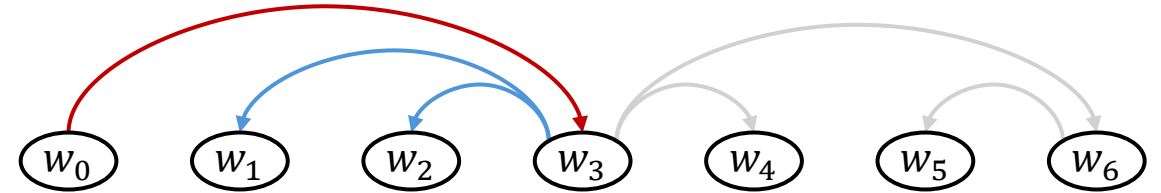


Add  $/_3$  to the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

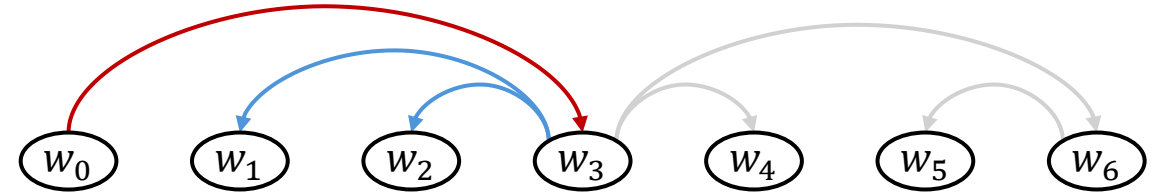


Add  $/_3$  to the stack.

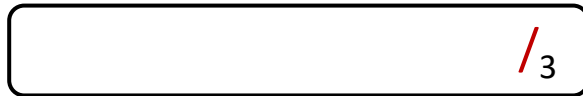
# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (//) matches super-left head (>).
- Super right head (<) matches super-left dependent (\\).
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Stack



Buffer

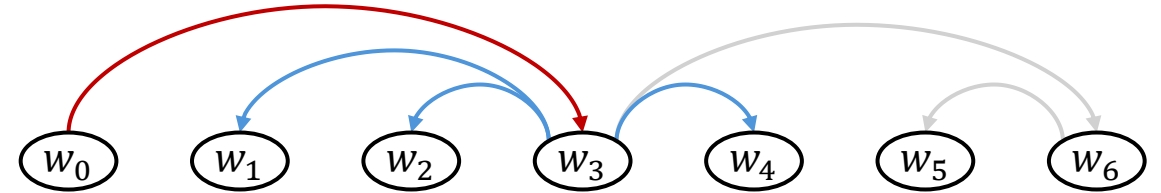


Match  $>_4$  with the superbracket at the stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (//) matches super-left head (>).
- Super right head (<) matches super-left dependent (\\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer

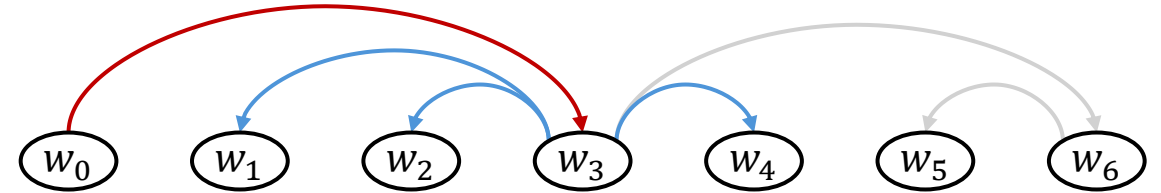


Match  $>_4$  with the superbracket at the stack.

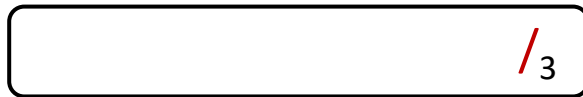
# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( $/$ ) matches super-left head ( $>$ ).
- Super right head ( $<$ ) matches super-left dependent ( $\backslash$ ).
- Auxiliary left dependent ( $<$ ) matches  $>$  and  $\backslash$ .
- Auxiliary right dependent ( $>$ ) matches  $<$  and  $/$ .



Stack



Buffer

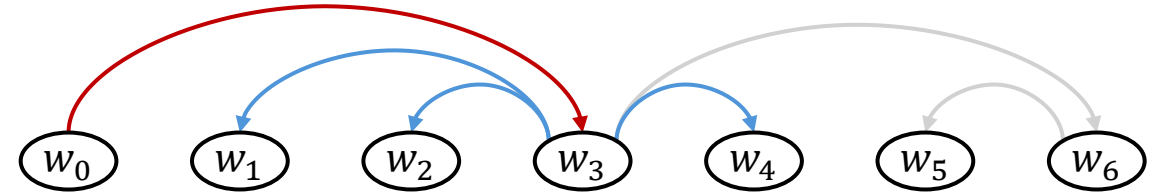


Add  $<_5$  to the stack.

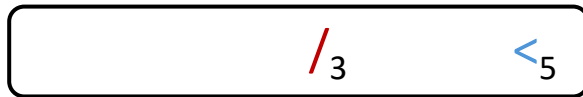
# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (/) matches super-left head (>).
- Super right head (<) matches super-left dependent (\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer



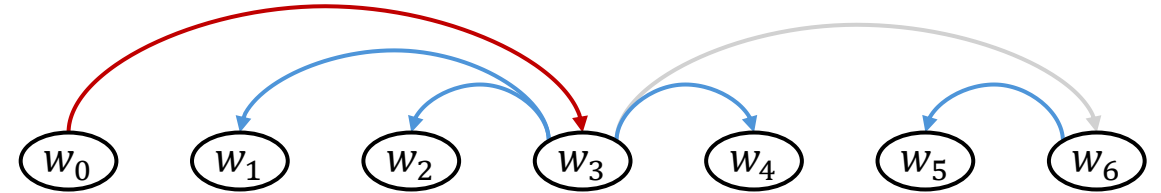
Match  $>_6$  with the elements stack.



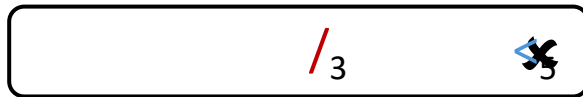
# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (/) matches super-left head (>).
- Super right head (<) matches super-left dependent (\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer

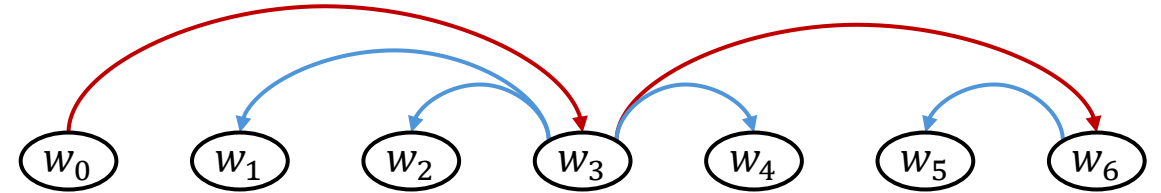


Match  $>_6$  with the elements stack.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent (//) matches super-left head (>).
- Super right head (<) matches super-left dependent (\\).
- Auxiliary left dependent (<) matches > and \.
- Auxiliary right dependent (>) matches < and /.



Stack



Buffer



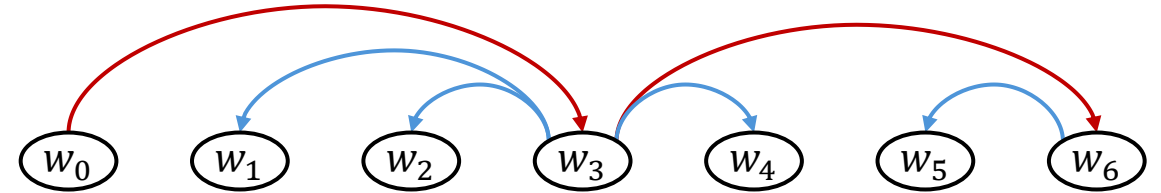
Stop iteration when a superbracket is found.

# Decoding with Hierarchical Brackets

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Stack-based system where:

- Super right dependent ( / ) matches super-left head ( > ).
- Super right head ( < ) matches super-left dependent ( \ ).
- Auxiliary left dependent ( < ) matches > and \.
- Auxiliary right dependent ( > ) matches < and /.



Stack

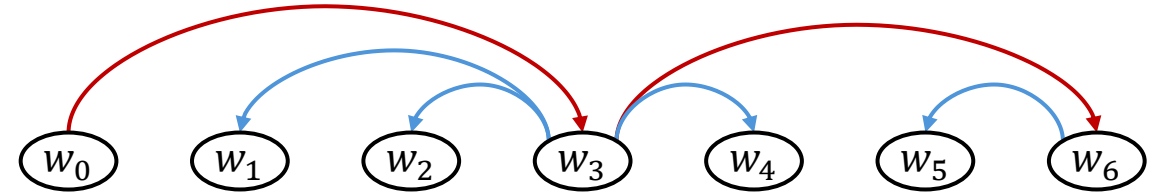
Buffer

Stop iteration when a superbracket is found.

# Decoding with Hierarchical Brackets

Stack-based system where:

- Super right dependent ( / ) matches super-left head ( > ).
- Super right head ( < ) matches super-left dependent ( \ ).
- Auxiliary left dependent ( < ) matches > and \.
- Auxiliary right dependent ( > ) matches < and /.



All arcs are recovered!

Stack

Buffer