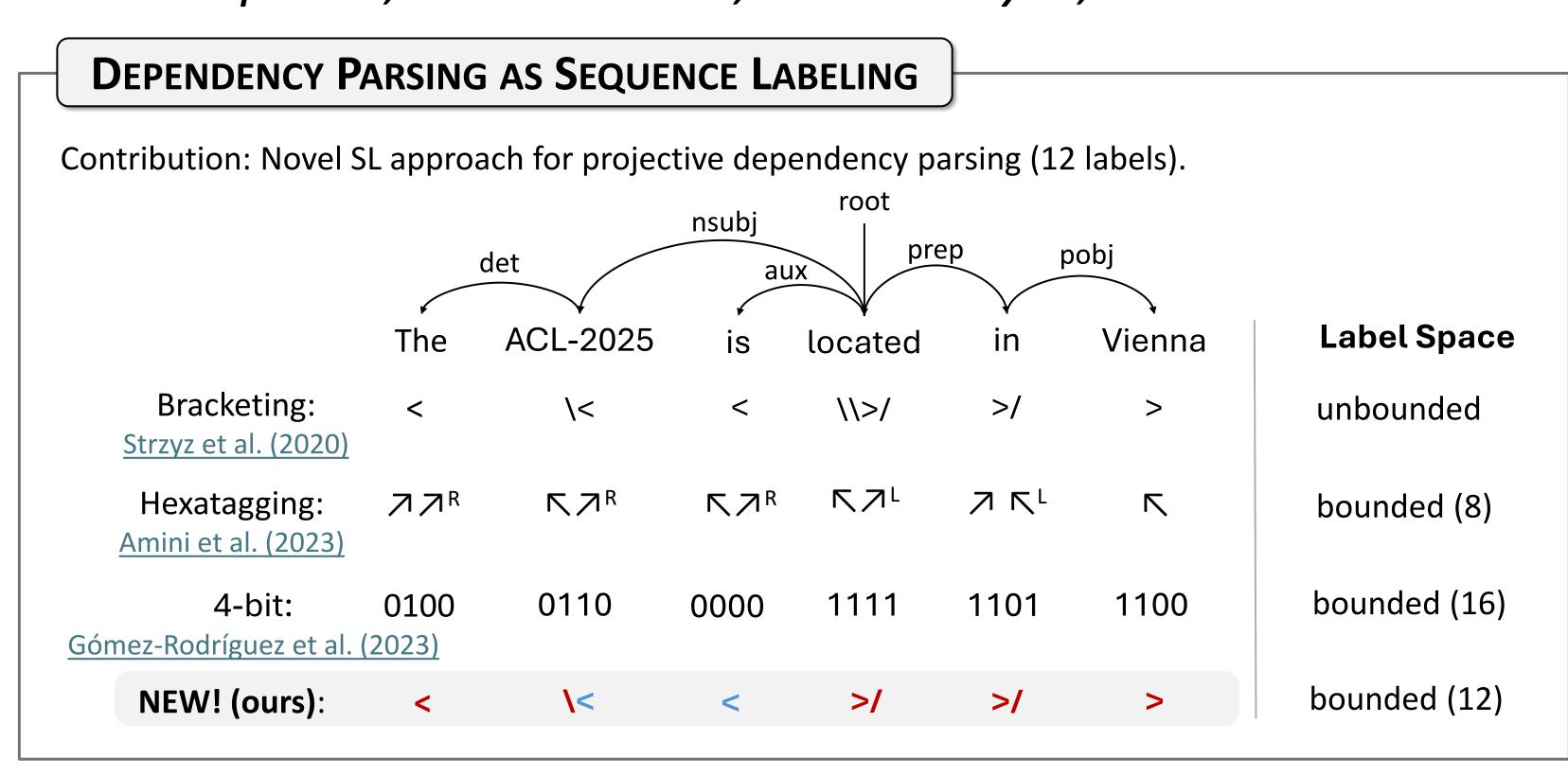
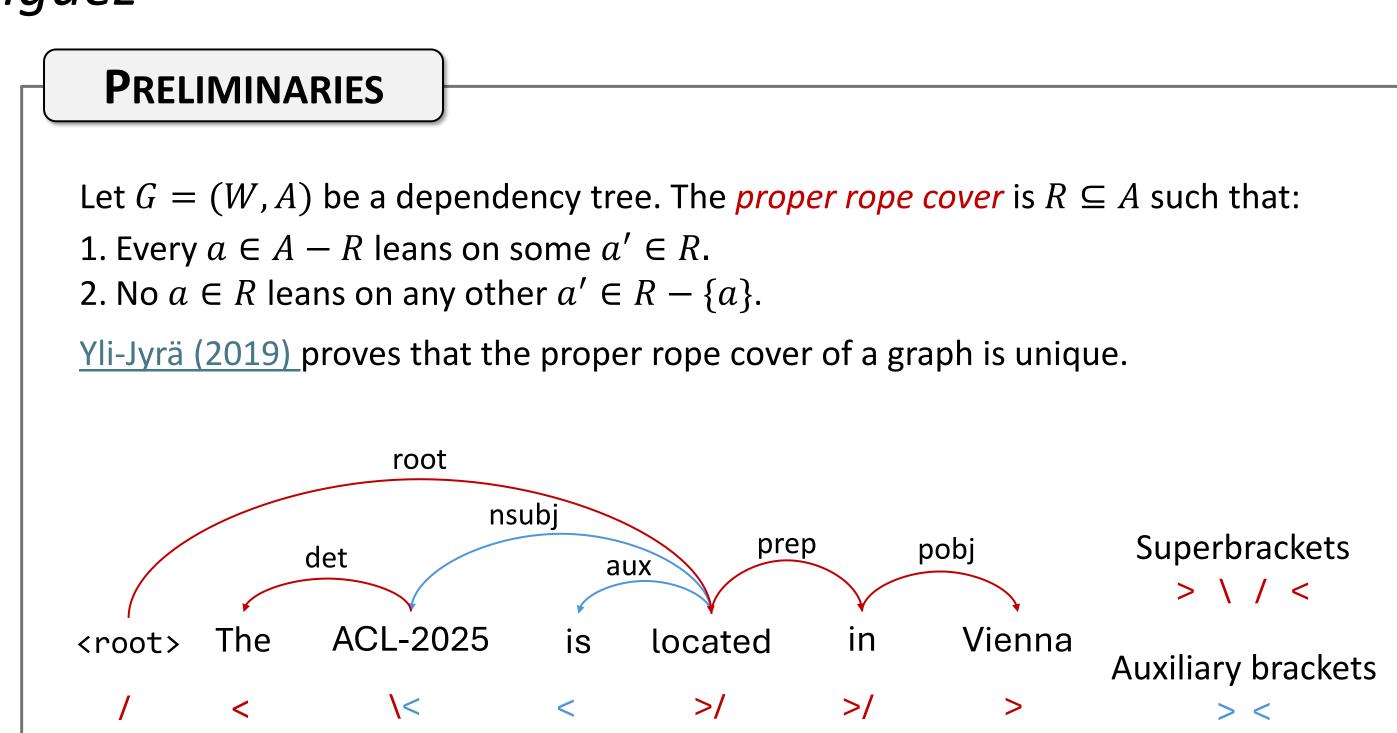
# Hierarchical Bracketing Encodings for Dependency Parsing as Tagging

Ana Ezquerro, David Vilares, Anssi Yli-Jyrä, Carlos Gómez-Rodríguez

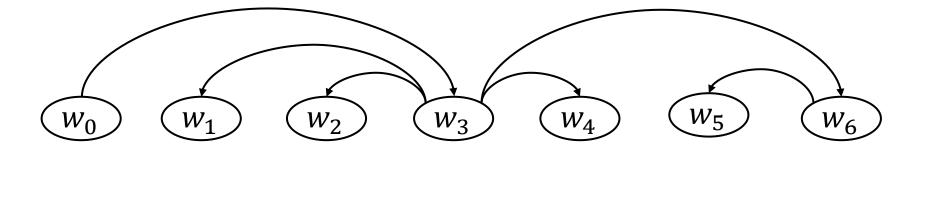


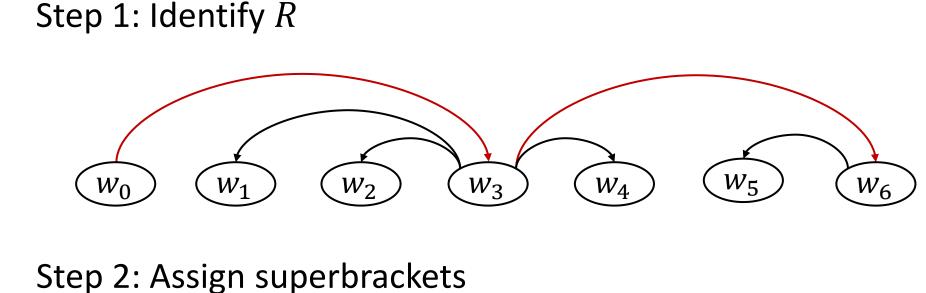


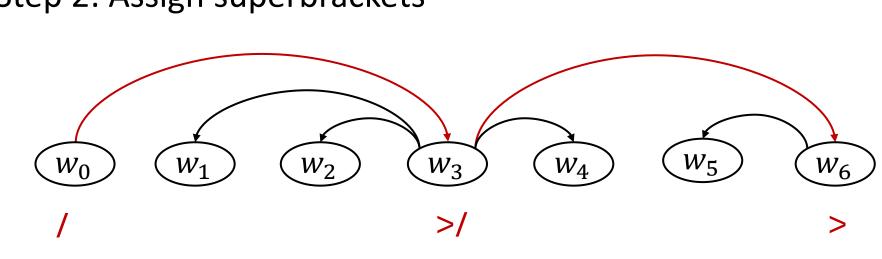
#### OPTIMAL HIERARCHICAL BRACKETING ENCODING

- Identify proper rope cover (R).
- 2. Assign superbrackets (>, \, /, <).
- For each arc  $a \in R$ , find those arcs that lean on a(auxiliary arcs) and assign auxiliary brackets (>, <).

#### Projective dependency tree







Step 3: Assign auxiliary brackets

Note that  $(w_0 \rightarrow w_3) \in R$  is represented with / and >, but  $(w_3 \rightarrow w_2)$  is represented with < and >.

#### The Bounded Space of Optimal Hierarchical Brackets (OHB)

Proof from 4-bit encoding  $(2^4 = 16 \text{ labels})$ 

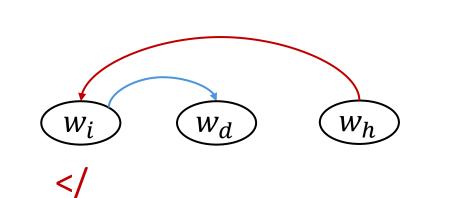
- $b_0 = w_i$  has a left head.
- $b_1 = w_i$  is the outermost dependent.
- $b_2 = w_i$  has left dependents.
- $b_3 = w_i$  has right dependents.

from Gómez-Rodríguez et al. (2023).

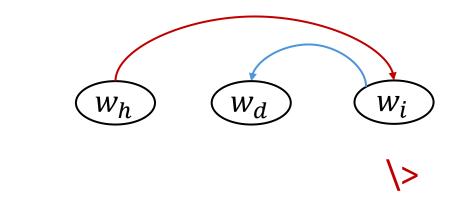
| $b_2b_3$ $b_0b_1$ | 00 | 01  | 10  | 11     |
|-------------------|----|-----|-----|--------|
| 00                | <  | < / | \ < | \ </th |
| 01                | <  | < / | \ < | \ </th |
| 10                | >  | >/  | \ > | \ > /  |
| 11                | >  | >/  | \ > | \ > /  |

But {\</, \>/, </, \>} **cannot** occur in OHB since no  $a \in R$  leans on  $a' \in R - \{a\}$ .

Never happens since  $(w_i \rightarrow w_d)$  is auxiliary.



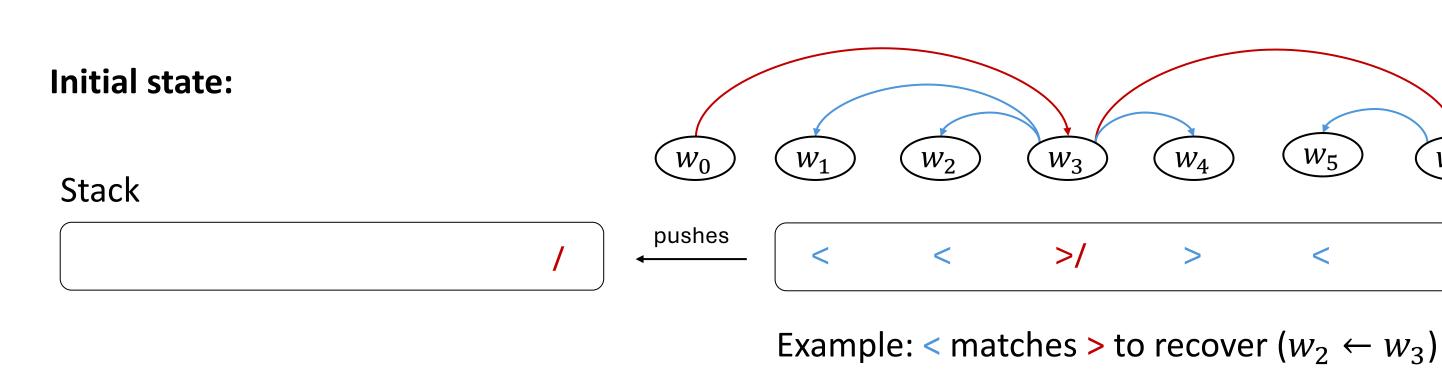
Never happens since  $(w_d \leftarrow w_i)$  is auxiliary.



Note that \</ and \>/ cannot occur since they contain </ and \>.

### OPTIMAL HIERARCHICAL BRACKETING DECODING

- Similar to the standard bracketing decoding (Strzyz et al., 2020).
- Stack-based system that parses the bracket sequence:
- Matching opening (<, /) with closing  $(\setminus, >)$  super brackets. Matching < with  $(>, \setminus)$  and > with (<, /).
- The brackets are processed from left to right until the buffer is empty.
- In the initial state the first element in the stack is always /.



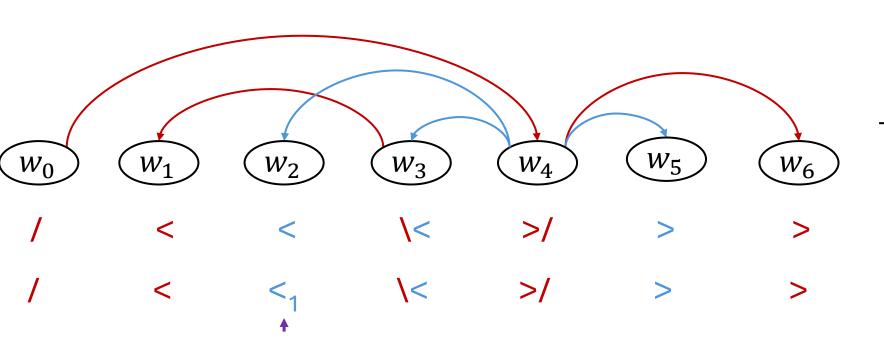
#### Non-Projective Extension for Hierarchical Bracketing

The OHB encoding does not recover crossing arcs. **Solution**: Add indices to closing superbrackets (\, >) and auxiliary brackets  $(>, \setminus, /, <)$  to skip matches during the decoding step.

**Drawback**: The label space becomes unbounded.

Use subindex 1 to indicate that < matches with the second superbracket found (> at  $w_4$ ).

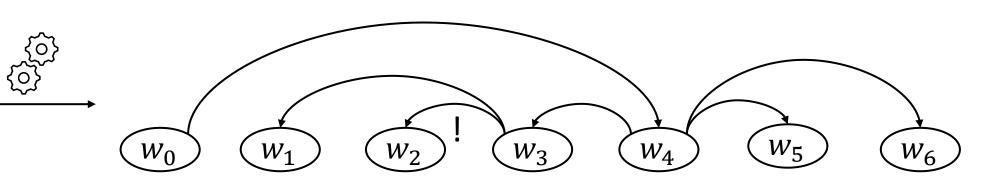
Non-Projective Dependency Tree



Decoded Tree with the projective decoding

 $W_5$ 

Buffer



Error:  $(w_2 \leftarrow w_4)$  is not recovered since it crosses  $(w_1 \leftarrow w_3)$ 

# **EXPERIMENTS AND RESULTS**

- PTB and UD (9 languages) with XLM ♦ /XLNet □.
- Baselines: Hexatagging (H<sup>+</sup>()) and Biaffine (DM()).
- 4-bit ( $B_4$ ) and projective OHB ( $O_p$ ) with pseudo-projectivity (+).
- 7-bit ( $B_7$ ) and non-projective OHB ( $O_{np}$ ).

#### Label Space Analysis:

- $B_4$  always requires 16 labels, while  $O_p$  requires 12 labels.
- Except for Ancient-Greek, O<sub>np</sub> requires less labels than B<sub>7</sub>.

|     | #trees | #labels        |                           |                |                            | #rels            |                           | #indices                      |       |       |      |          |
|-----|--------|----------------|---------------------------|----------------|----------------------------|------------------|---------------------------|-------------------------------|-------|-------|------|----------|
|     |        | $\mathbf{B}_4$ | $\mathbf{O}_{\mathrm{P}}$ | $\mathbf{B}_7$ | $\mathbf{O}_{\mathrm{NP}}$ | $\mathbf{H}^{+}$ | $\mathbf{O}_{\mathrm{P}}$ | $\mathbf{O}_{\mathrm{P}}^{+}$ | 0     | 1     | 2    | $\geq 3$ |
| grc | 13.9k  | 16             | 12                        | 103            | 168                        | 8                | 26                        | 248                           | 42.87 | 51.32 | 5.50 | 0.25     |
| en  | 16.6   | 16             | 12                        | 60             | 55                         | 8                | 53                        | 169                           | 97.98 | 1.98  | 0.04 | 0        |
| fi  | 15.1k  | 16             | 12                        | 59             | 56                         | 8                | 47                        | 150                           | 96.66 | 2.40  | 0.93 | 0        |
| fr  | 16.3k  | 16             | 12                        | 51             | 50                         | 8                | 56                        | 142                           | 96.63 | 3.23  | 0.14 | 0        |
| he  | 6.1k   | 16             | 12                        | 37             | 30                         | 8                | 37                        | 52                            | 99.22 | 0.75  | 0.03 | 0        |
| ru  | 5k     | 16             | 12                        | 60             | 52                         | 8                | 42                        | 153                           | 95.27 | 4.31  | 0.42 | 0        |
| ta  | 600    | 16             | 12                        | 24             | 17                         | 8                | 29                        | 35                            | 98.33 | 1.67  | 0    | 0        |
| ug  | 3.5k   | 16             | 12                        | 40             | 35                         | 8                | 40                        | 67                            | 93.40 | 6.57  | 0.03 | 0        |
| wo  | 2.1k   | 16             | 12                        | 40             | 27                         | 8                | 38                        | 62                            | 97.15 | 2.85  | 0    | 0        |
| PTB | 44k    | 16             | 12                        | 22             | 21                         | 8                | 45                        | 46                            | 99.90 | 0.10  | 0    | 0        |

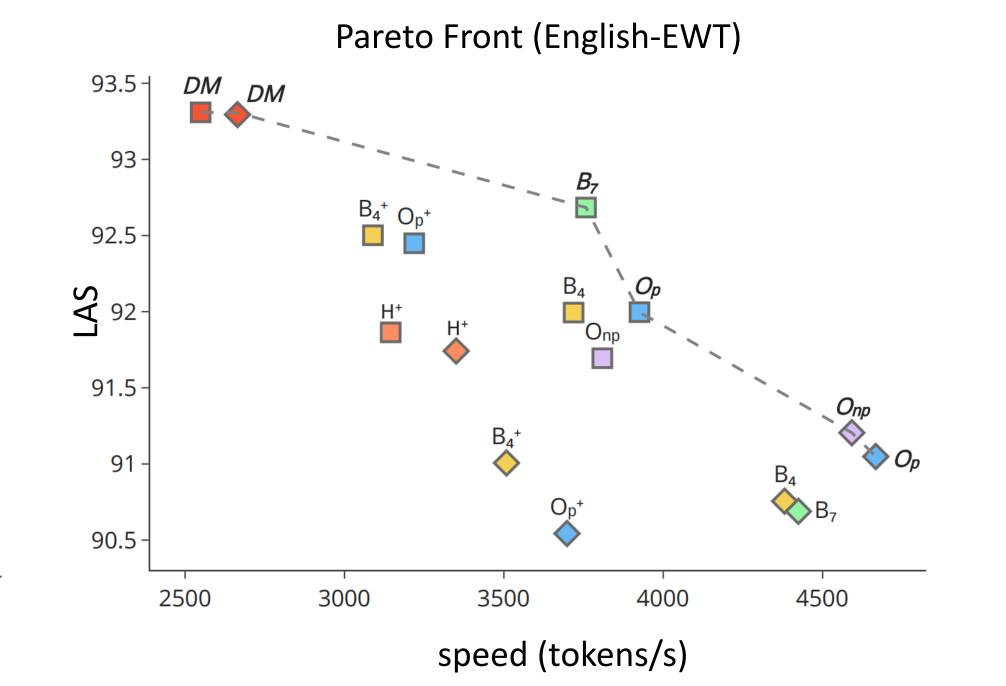
## Close performance:

- LAS:  $O_n < O_{np} < B_4 < O_p^+ < B_4^+ < B_7$ .
- LCM:  $O_{p}^{+} < B_{4} < O_{p} < B_{4}^{+} < O_{pp} < B_{7}$ .

Performance (average) DM 83.8 81.6 SH 81.2 80.8 80.2 27.5 28.5 29.5 28 29 LCM

## Code and Materials: <a href="https://github.com/anaezquerro/separ">https://github.com/anaezquerro/separ</a>.

But  $O_n/O_{nn}$  are faster (compact label space).







We acknowledge grants SCANNER-UDC (PID2020-113230RB-C21) funded by MICIU/AEI/10.13039/501100011033; GAP (PID2022-139308OA-I00) funded by MICIU/AEI/10.13039/501100011033/ and ERDF, EU; LATCHING (PID2023-147129OB-C21) funded by /AEI/10.13039/501100011033 and ERDF, EU; and TSI-100925-2023-1 funded by Ministry for Digital Transformation and Civil Service and "NextGenerationEU" PRTR; as well as funding by Xunta de Galicia (ED431C 2024/02), and CITIC, as a center accredited for excellence within the Galician University System and a member of the CIGUS Network, receives subsidies from the Department of Education, Science, Universities, and Vocational Training of the Xunta de Galicia. Additionally, it is co-financed by the EU through the FEDER Galicia 2021-27 operational program (Ref. ED431G 2023/01). We also extend our gratitude to CESGA, the supercomputing center of Galicia, for granting us access to its resources. Furthermore, we acknowledge the Faculty of Agriculture and Forestry of the University of Helsinki, as well as projects "Theory of Computational Logics" (352420) and "XAILOG" (345612, 345633) funded by the Research Council of Finland for the continued support of the third author during the multistage writing process.

